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112056-0164  
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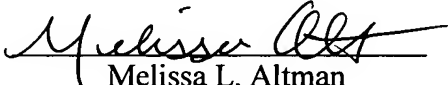
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re The Application of:	)	
Guillermo Roa	)	
	)	
Serial No.: 10/822,431	)	Examiner: Not Yet Assigned
	)	
Filed: April 12, 2004	)	
	)	Art Unit: Not Yet Assigned
For: SYSTEM AND METHOD FOR	)	
SUPPORTING BLOCK-BASED	)	
PROTOCOLS ON A VIRTUAL	)	
STORAGE APPLIANCE EXECUT-	)	
ING WITHIN A PHYSICAL	)	
STORAGE APPLIANCE	)	

Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
July 9, 2004

**CERTIFICATE OF MAILING**

I hereby certify that the following paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 9, 2004.

  
Melissa L. Altman

<u>X</u> Information Disclosure Statement	<u>X</u> PTO-1449 and Copies of References
<u>X</u> Return Receipt Postcard	

**INFORMATION-DISCLOSURE STATEMENT**

In keeping with the duty of candor and good faith owed to the Patent and Trade-mark Office, Applicant wishes to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

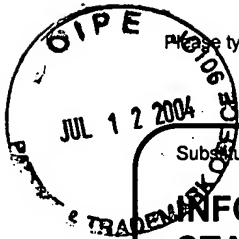
To the extent required by 37 C.F.R. §1.98(a)(3), Applicant has described what he considers to be the relevance of any foreign-language reference. The Office may find additionally relevant material in these or other references.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Duane H. Dreger', is written over a horizontal line.

Duane H. Dreger  
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Please type a plus sign (+) inside this box →



PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

### Complete if Known

Application Number	10/822,431
Filing Date	April 12, 2004
First Named Inventor	Guillermo Roa
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	112056-0164

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	Maintenance Procedures ND (8C) nd-network disk control February 1985	
	2	Misc. Reference Manual Pages ND (4P) nd-network disk driver 26 July 1985	
	3	Asante EN/SC Adapter Family Installation Guide May 1994	
	4	Asante Desktop EN/SC Adapters User's Manual April 1996	
	5	Performance Without Compromise: The Virtual Storage Architecture 1997	
	6	ANTHONY J. MCGREGOR Department of Computer Science, University of Waikato Dissertation: Block-Based Distributed File Systems July 1997	

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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